

# Securities-Backed Line of Credit (SBLOC)



## Enhancements

We have enhanced the Liberty experience and execution process for the Axos Bank Securities-Backed Line of Credit solution. This enhancement provides a streamlined experience with a faster initiation process while providing an opportunity to review and approve the SBLOC with your client prior to the underwriting of the loan.

### Initiating an SBLOC

To initiate an SBLOC, you will see a link under additional actions on the overview page. Once initiated and the desired credit amount is input, the DocuSign application and loan documents are sent to your client. After the client completes the necessary paperwork, the DocuSign will be returned to you so you can sign the Client Suitability Attestation. *Please reference the SBLOC Liberty User Guide for step-by-step instructions.*

If the client starts the process from the Axos Client Portal, you will receive a bulletin in Liberty. After the client completes the necessary paperwork, the DocuSign will be returned to you so you can meet with your client to discuss the SBLOC and sign the Client Suitability Attestation to complete the process.

### Execution Process

For the process to work smoothly, it is important that each account has a Representative associated with it along with an email address. If any information is missing, your Client Service Advocate will reach out to ensure it is complete so the execution process is not disrupted.

### Additional Information

Frequently Asked Questions – link pending compliance approval

[Liberty User Guide – How to Execute an SBLOC in Liberty](#)

### Support

As an Axos Advisor Services client, your firm receives VIP advisor support through our Premier Banking and Lending Solutions team. If you have questions about SBLOC, please call 877-887-3030 to speak to a premier team member. If you have any additional needs or questions, don't hesitate to contact your Client Services Advocate.

**Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.**

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