# CORPORATE ACTION ANNOUCEMENTS

**WEEK OF:** 6/23/2021 to 6/29/2021

| DESCRIPTION: | CUSIP: | SYMBOL: | EVENT TYPE: | EFFECTIVE DATE: | RATE: |
|---|---|---|---|---|---|
| BROOKFIELD ASSET MANAGEMENT INC. CL L | 112585104 | BAM | Spin Off | 06/28/2021 | |
| ISHARES GLOBAL TECH ETF | 464287291 | IXN | Stock Split | 07/19/2021 | 6:1 |
| ISHARES US MEDICAL DEVICES ETF | 464288810 | IHI | Stock Split | 07/19/2021 | 6:1 |
| SHOE CARNIVAL, INC. | 824889109 | SCVL | Stock Split | 07/20/2021 | 2:1 |
| APX GROUP INC SR SEC GLBL NT22 | 00213MAK0 | | Full Call | 07/26/2021 | |
| COLONY CR REAL ESTATE INC. | 19625T101 | CLNC | Name Change | 06/25/2021 | |
| SAN FRANCISCO CALIF CITY & CNTY | 79765RWA3 | | Full Call | 11/01/2021 | |
| PORTMAN RIDGE FINANCE CORP CALLABLE | 41753F307 | HCAPZ | Full Call | 7/24/2021 | |
| ISHARES TR PHLX SOX SEMICONDUCTOR | 464287523 | SOXX | Name Change | 06/28/2021 | |
| ISHARES NASDAQ BIOTECH INDEX FD | 464287556 | IBB | Name Change | 06/28/2021 | |
| DTE ENERGY COMPANY | 233331107 | DTE | Spin Off | 07/01/2021 | |
| FORD MOTOR CREDIT COMPANY FR 3.45% 8/2 | 34540TRN4 | | Full Call | 08/20/2021 | |
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