



A subsidiary of Axos Financial\*

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### **Statement of Financial Condition**

Axos Clearing LLC is subject to the Uniform Net Capital Rule 15c3-1 of the Securities and Exchange Commission ("SEC") and is required to maintain a minimum amount of net capital. We compute our net capital under the alternate method, as defined in this Rule.

As of January 31, 2024, Axos Clearing LLC had net capital and a net capital requirement of \$115,285,989 and \$5,737,861, respectively.

You may obtain a copy of our most recent unaudited Statement of Financial Condition (dated December 31, 2023) at no cost by accessing our website at <https://www.axosclearing.com/disclosures>, or by calling our toll-free number, 800-811-3487.