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From: Harrington, Kelly
Sent: Monday, December 9, 2019 6:52 AM
To: Harrington, Kelly
Subject: 12.18.19 Guggenheim Investments: SMid Cap Value Institutional Fund Merger

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Subject: Guggenheim Investments: SMid Cap Value Institutional Fund Merger

GUGGENHEIM

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**Guggenheim Announces Merger for
SMid Cap Value Institutional Fund**

The Guggenheim SMid Cap Value Institutional Fund will merge into a newly created Institutional share class of the Guggenheim SMid Cap Value Fund. The merger will be completed after the close of business on **January 3, 2020**. While the ticker symbol will remain the same, the CUSIP and Fund ID will change, see below.

	Current	Post Merger
Fund Name	Guggenheim SMid Cap Value Institutional	Guggenheim SMid Cap Value
Share Class	Institutional	Institutional
Symbol	SVUIX	SVUIX
CUSIP	40168W830	40169J499
Fund ID	1024	1124

OPERATIONAL TIMELINE

December 31, 2019	Settlement cycle for the Institutional Fund will be modified to T+1.
January 2, 2020	Last date for purchases and exchanges into the existing Institutional Fund.
January 3, 2020	<p>Last date for redemptions and exchanges out of the existing Institutional Fund.</p> <p>First day purchases will be allowed in the newly created Institutional Class.</p> <p>Merger will be processed after the close of business.</p> <p>The newly created Institutional Class will launch with the same NAV as the closing NAV for the Institutional Fund.</p>
January 4, 2020	F55 Activity file will be sent. The transaction code will be W0 – Fund Merger Non-Taxable in field 23.

If you have questions about the information in this email, please call our Institutional Services department at 800.783.5608 or email us at IS@mufg-is.com. Thank you.

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