

April 16



# Liberty Short Term Redemption Fees Instructions Product Overview

## Introduction

Liberty offers the option to identify and reduce or remove accounts subject to Short Term Redemption Fees (STRF), allowing you to more effectively manage STRF impacts.

Note: Shares subject to short term redemption fees are estimates only and the actual shares charged may vary from these figures.

## Navigation

The Reduce/Remove option appears in the Trade Blotter screen if there are shares subject to STRF in the trade.

Viewing 20 of 20 Trades Export data

All	Trade Order #	Trade Date	Potential STRF Shares	STRF Instruction	Created By	Model	Instruction	Symbol	# Accts	Cash	Shares	Special Instructions	Status	Create Date
<input type="checkbox"/>	14380073	01/26/2016	4.5800	Accept	shopper324	40-AGGR	Sell Shares	FSELX	3	\$6,525.00	(100.0000)		Recommend	01/26/2016 at 11:43:41 AM
<input type="checkbox"/>	14380089	01/26/2016	1.3740	Accept	shopper324	40-AGGR	Sell Shares	FSELX	3	\$1,957.50	(30.0000)		Recommend	01/26/2016 at 01:01:31 PM
<input type="checkbox"/>	14380090	01/26/2016	0.4580	Accept	shopper324	40-AGGR	Sell Shares	FSELX	3	\$652.50	(10.0000)		Recommend	01/26/2016 at 01:04:26 PM
<input type="checkbox"/>	14380070	01/28/2016	0.4580	Remove	showtstcase	40-AGGR	Sell Shares	FSELX	3	\$662.30	(10.0000)		Recommend	01/26/2016 at 11:40:45 AM
<input type="checkbox"/>	14380093	01/25/2016	0.4120	Accept	shopper324	40-AGGR	Sell Shares	FSELX	3	\$587.25	(9.0000)		Recommend	01/26/2016 at 01:13:06 PM
<input type="checkbox"/>	14380091	01/26/2016	0.2290	Accept	shopper324	40-AGGR	Sell Shares	FSELX	3	\$326.25	(5.0000)		Recommend	01/26/2016 at 01:09:23 PM
<input type="checkbox"/>	14380092	01/26/2016	0.2290	Accept	shopper324	40-AGGR	Sell Shares	FSELX	3	\$326.25	(5.0000)		Recommend	01/26/2016 at 01:11:10 PM
<input type="checkbox"/>	14380067	01/26/2016	0.0000	Accept	kpiedra-324	0-DOME	Buy Dollars	VMMXX	117	(\$100.00)	100.0000		Recommend	01/26/2016 at 11:14:58 AM
<input type="checkbox"/>	14380088	01/26/2016	0.0000	Accept	kpiedra-324	0-DOME	Buy Dollars	VMMXX	177	(\$423.00)	423.0000		Recommend	01/26/2016 at 12:14:54 PM

The columns display the potential number of shares subject to STRF as well as the instructions:

- Accept – processes the trade as is.
- Reduce – reduce the number of shares to exclude those subject to STRF.
- Remove – removes the account(s) subject to STRF from the trade.

Once the instructions have been selected, there will be a message confirming that the STRF instructions have been saved:

Information has been successfully saved.

TRADE ORDERS  INTERMODEL TRANSFERS

Search:  01/27/2016 to 01/27/2016

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ADDITIONAL TRADE ACTIONS  
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[Intermodel Transfer ▶](#)  
[Securities ▶](#)

Viewing 20 of 20 Trades Export data

All	Trade Order #	Trade Date	Potential STRF Shares	STRF Instruction	Created By	Model	Instruction	Symbol	# Accts	Cash	Shares	Special Instructions	Status	Create Date
<input type="checkbox"/>	14380073	01/28/2016 [7]	4.5800	<input type="button" value="Reduce"/>	shopper324	40-AGGR	Sell Shares	FSELX	3	\$6,623.00	(100.0000)		Recommend	01/26/2016 at 11:43:41 AM
<input type="checkbox"/>	14380089	01/26/2016	1.3740	<input type="button" value="Accept"/>	shopper324	40-AGGR	Sell Shares	FSELX	3	\$1,957.50	(30.0000)		Recommend	01/26/2016 at 01:01:31 PM

The Trade Processing Summary will reflect any changes made to shares subject to SRTF:

### Trade Processing Summary

❗ 0 Denied 📄 0 Remaining ✅ 1 Approved

Trade Order #	Model	Symbol	Status	Confirmation #	Approval Date
<span style="color: green;">✅</span> 14377272	40-AGGR-AGGRESSIVE GROWTH FUND A	FSELX	Approved	14470186214377272	01/14/2016 at 09:35:45 AM

Messages and Alerts

❗ Processing Errors  
No Processing Errors

📄 Messages

Trade 14377272 encountered STRF shares. Accounts shares moved to new recommend trade 14377274.