Money Manager Directory

Q2 2020

E*TRADE Advisor Services. 7103 South Revere Parkway, Centennial, CO 80112. Member FDIC

Contents

A

Alpha Investment Management

Diane Embree
(513) 621-9400 x10
diane@alphaim.net
www.alphaim.net

Founded in 1997, Alpha Investment Management is a registered investment advisor that specializes in risk management for long-term investors. Alpha's strategies seek to control market risk by focusing on seasonal factors which affect the stock market consistently over decades. Alpha uses a quantitative and rule-oriented approach which is designed to eliminate subjectivity and emotional reactions to both negative and positive developments in the stock market. The rules governing our strategies are objective, easy to understand and explain, and are fully disclosed. Alpha offers both fixed-income and equity strategies for conservative investors seeking smooth and consistent growth of capital.

Anchor Capital

Eric Leake
(800) 290-8633
eric@anchor-capital.com
www.Anchor-capital.com

Anchor Capital is a SEC-registered investment adviser located in Aliso Viejo, California with over $800M in assets under management. For more than twenty years, Anchor Capital has been at the forefront of tactical, risk-managed investment strategies designed to help advisors and their clients be more confident in reaching their goals. Our investment team has a combined 40 years of experience in the research and execution of quantitative trading disciplines, risk management, and alternative investment strategies. We partner with forward thinking investment advisors to improve risk-adjusted investment results and enhance client relationships through Anchor Capital Risk-Managed Mutual Funds, Institutional SMAs, and turn-key Total Solution Model Portfolios.

B

Beacon Capital Management

Dan Baccarini
(937)660-9063
baccarini@beaconinvesting.com
www.beaconinvesting.com

At Beacon, we take a fundamental approach to portfolio management. We don’t just tell investors to “buy and hope” with their managed investments, nor do we advise investors to continue to rebalance a portfolio to some “ideal” 60/40 split. We are proud to provide strategically designed portfolios that strive to put the needs of today’s investors first and foremost.

We design and manage investment portfolios based on research from Nobel-Prize winning scholars, mathematical formulas and mechanical execution strategies. Each of our portfolio models offers proprietary risk management solutions, with a driving goal of working to deliver consistent returns for the mid to long-term investor. This overwhelming need for consistency in today’s economy could not be more clear; as of 2019, Beacon’s assets under management have grown to more than $3 billion.

BTS Asset Management
Gary Shilman
(800) 343-3040
gshilman@btsmanagement.com
www.btsmanagement.com

Founded in 1979, BTS is one of the oldest risk managers, managing traditional assets with a nontraditional approach. BTS has a multi-year track record in tactical fixed income and equity management. BTS has experience managing through several market cycles, recessions, recoveries, and multiple up and down interest rate periods. Our goal is to find opportunities with the potential to take advantage of rising markets while working to manage losses during downturns. BTS believes in a capital preservation approach seeking to deliver consistent, steady returns over the long haul, while mitigating downside risk to the extent possible.

C

CLS Investments, LLC
(888) 455-4244
emily.gross@clsinvest.com
mike.zarren@clsinvest.com
www.clsinvest.com

CLS is an Omaha-based registered investment adviser managing in excess of $8 billion. As one of the largest third-party money managers and ETF strategists in the U.S., CLS partners with thousands of advisors, plan sponsors, and institutions to offer a full suite of outsourced portfolio management solutions for more than 44,000 individual investors. CLS specializes in creating portfolios based on a distinct risk budgeting methodology and active asset allocation approach. The CLS investment process is governed by systematic research across asset classes and strategies and the continuous measuring of risk.

CMG Capital Management Group, Inc.
Avi Rutstein
(610) 989-9090
Avi@cmgwealth.com
www.CMGwealth.com

Crescat Portfolio Management
Brian McKelvey
(303) 350-4116
bmckelvey@crescat.net
www.crescat.net

Crescat is a global macro asset management firm. Our mission is to grow and protect wealth over the long term. We deploy tactical investment themes based on proprietary value-driven equity and macro models. Our goal is industry leading absolute and risk-adjusted returns over complete business cycles with low correlation to common benchmarks. We apply our investment process across a mix of asset classes and strategies to assist with each client’s unique needs and objectives.

Crosspoint Capital Strategies

Tony Cantando
(415) 291-2912
tony@crosspointcom.com
www.crosspointcm.com

The Crosspoint Accelerated Growth Strategy is an investment strategy that shifts between U.S Index ETF's and 100% cash based on the condition and trend of the U.S. stock market as determined by the firm's proprietary Market Sentiment Indicator (MSI). When the MSI is Positive, the strategy will invest in 0-4 Leveraged Index ETF's weighted between 0-50% of the portfolio based on distinct technical parameters. When the MSI is Negative, the strategy will decrease market exposure.

E

Earth Equity Advisors

Peter Krull
877-235-3684
pete@earthequityadvisors.com
www.earthequity-mmx.com

Since 2004, Earth Equity Advisors has been helping clients align their investments with their values. Focused only on socially and environmentally responsible investing, the firm manages assets for individuals, retirement plans, trusts and institutions across the country. Offering diversified, fossil fuel free mutual fund portfolios, and individual stock portfolios, the firm focuses on positive investing, focusing on what do we want to own, not just exclusion. Earth Equity Advisors is a Certified B Corporation, a 1% For the Planet signatory and members of US SIF: The Forum for Sustainable and Responsible Investing and E2: Environmental Entrepreneurs.

F

Fairfax Global Markets LLC

Paul Dietrich
(540) 905-5858
PDietrich@Fairfax-Global.com
www.fairfax-global.com

Fairfax Global Markets manages "active" investment strategies designed to build client wealth during bull markets, while simultaneously protecting client capital from losses during severe bear markets. Fairfax's "Tactical Investment Strategies" are "all weather" conservative strategies designed to (1) stay fully invested in the market during the good times of Bull Markets, and (2) to exit the equity markets in the bad times of a Recession/Bear Market and then invest in cash, money markets, treasury bonds, gold and defensive investments during long-term bear market/recessions. Investment Strategies: Permanent Portfolio-Bond Alternative Strategy; Global ETF Strategy; Value Stock Strategy; Balanced Value Stock Strategy.

Flexible Plan Investments

Renee Toth
(800) 347-3539 x 139
reee@flexibleplan.com
www.flexibleplanl.com

Since 1981, Flexible Plan Investments has been dedicated to preserving and growing wealth through dynamic risk management. We are a turnkey asset management program (TAMP), which means advisors can access and combine our many risk-managed strategies within a single account. Our fee-based separately managed accounts can provide diversified portfolios of actively managed strategies within equity, debt, and alternative asset classes using mutual funds, ETFs, or variable annuities on an array of different platforms. We also offer advisors our OnTarget Investing tool to help set realistic, custom benchmarks for clients and regularly measure progress.

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Horizon Investments, LLC

Josh Bartholomew
(866) 371-2399
joshr@horizoninvestments.com
www.HorizonInvestments.com

Horizon Investments' mission is to provide goals-based investment management strategies that will empower financial advisors to help their clients achieve their most important financial objectives throughout life. Horizon has identified three unique stages that investors encounter when seeking investment-related goals and has created goals-based strategies designed to accumulate, preserve, and distribute wealth to address the demands of each stage.

- GAIN (Accumulation Strategies) – A flexible, opportunistic approach seeking investments in global markets with the goal of providing long term capital appreciation.
- PROTECT (Preservation Strategies) – A systematic approach designed to avoid catastrophic losses and eliminate emotionally-driven investing decisions when markets are turbulent.
- SPEND (Distribution Strategies) – A dynamic approach to retirement income designed strike the ideal balance between current income and the longevity of retirement goals.

Howard Capital Management

Carla Martin
(402) 219-5045
www.howardcm.com

Our goal is to protect and defend your assets, while seeking upside potential. We understand the time, money and stress it takes to regain losses during market downturns. It is our mission to give you peace of mind and guide you through complex market conditions that arise while investing. The investment strategies we use at

Howard Capital Management, Inc. are defensive and tactical. We believe tactical management is a powerful, straightforward solution to navigating market volatility while striving to drive performance and hedge against inflation. Through our defensive, pro-active approach to investing, we monitor market conditions daily in conjunction with the use of our HCM-BuyLine® tool, to help your portfolio maximize its potential.

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Legg Mason
Tyler Porterfield
(303) 210-3883
tporterfield@leggmason.com
https://www.leggmason.com

Legg Mason is a uniquely diversified global asset management leader, committed to expanding choice and capabilities across a spectrum of asset classes to support the long-term goals of individual and institutional investors.

M

Manning & Napier
Stephen Dedyo
(347) 638-4186
sdedyo@manning-napier.com
www.manning-napier.com

Over the last four decades, our disciplined, team-based approach has meant stability of process through varying market conditions. Our flexibility, combined with in-depth analysis, allows us to identify attractive investment options and selectively adjust our portfolios as environments and valuations change. Founded in 1970 in Rochester, N.Y., we are a majority employee-owned firm offering a variety of U.S. and non-U.S. equity, fixed income, ETF-based, and balanced investment strategies.

Morningstar Investment Services

Peter Dugery
(312) 696-6040
peter.dugery@morningstar.com
tom.simutis@morningstar.com
cindy.galiano@mornginstar.com
mike.mackey@morningstar.com
https://www.morningstart.com/en-us/products/morningstar-managed-portfolios

Guided by seven investment principles, the group is fiercely independent, which allows it to stay focused on its mission to design portfolios that help investors reach their financial goals. The group's global investment management team works as one to apply its disciplined investment process to all strategies and portfolios, bringing together core capabilities in asset allocation, investment selection, and portfolio construction. This robust process integrates proprietary research, patented methodologies, and leading investment techniques.

Motif Capital Management, Inc.

ramak@motif.com
(650) 445-2434
divya@motifcapital.com
www.motifcapital.com

Motif Capital is a next-generation global equity investment manager that specializes in the management of thematic investment strategies for financial institutions such as private wealth management, investment companies, endowments, and family offices. Motif Capital Management, Inc. is an SEC registered investment advisory firm located in San Mateo, California. The company is privately held and is a wholly owned subsidiary of Motif Investing Inc., a registered broker-dealer, member FINRA/SIPC.

N

O

OBS Financial

Catherine Farley
(419) 482-4540
Cfarley@obsmail.com

OBS Financials' mission is to create portfolios that provide consistent returns while limiting market risk. Adhering to Modern Portfolio Theory, we employ an academic and research-based strategy that applies the science of capital markets. Structured investing allows us to pursue higher returns through advanced portfolio design, management, and trading. Employing funds managed by Dimensional Fund Advisors, we design every portfolio to minimize turnover and trading costs—thereby limiting the impact these expenses have on client returns. Given our management style and low turnover ratio, our portfolios are inherently tax efficient and well suited for a wide variety of investment needs.

Ocean Park Asset Management, Inc.

Colin Barber
(310) 452-1887
colin.barber@oceanparkam.com
www.oceanparkam.com

Ocean Park Asset Management has been helping investors achieve their financial goals for more than thirty years. This is accomplished with a rules-based, quantitative risk-limiting investment process. This distinct process has produced compelling results with low volatility and has reinforced our reputation as a trusted partner to advisors nationwide during strong and tumultuous market conditions.

P

Portformulas

Kevin Roskam
(800) 869-5994 x470
kroskam@portformulas.com
www.portformulas.com

Known as the Formulaic Trending Money Manager®, USA Financial Portformulas® is an unconstrained, multi-cap equity manager. Using a quantitative and transparent approach to asset management, Portformulas is specifically designed with the ability to go to cash and allocate away from equities when the trends dictate. The strategies are 100% formula driven with a reapplication of the formulaic criteria applied at the beginning of every month. Each strategy empowers investors to know why investment decisions are made and what will trigger a change in the future.

Q

Q3 Asset Management Corporation

Adam Quiring
(248) 566-1122
aquiring@q3tactical.com
www.q3tactical.com

Q3 Asset Management offers a suite of managed strategies designed to help individuals achieve their investment goals. Our services are available exclusively through investment professionals, as we believe advisors play a vital role in guiding investors to their financial destination. Each of our strategies have distinct primary objectives but all share an equal emphasis on the most important aspect of successful investing – minimizing losses. We are firm believers that true diversification lies in combining non-correlated investment approaches to create a portfolio that can succeed in all market environments.

R

S

SEM Wealth Management

Tim McCain
(520) 749-4580
tmccain@strategquity.com
www.SEMWealth.com

SEM takes a different perspective when it comes to investing. We have always understood the impact of emotions on decision making, especially when it comes to investments. We have a goal of helping offset clients' emotions in order to prevent them from making reactionary decisions with their investment portfolios. Having emotions is not necessarily a bad thing—it's what makes you human. In fact, these emotions often lead to PREDICTABLE mistakes. Once we understand this, we can structure portfolios designed to offset these mistakes.

Symmetry Partners, LLC

Michael Storer
(508) 667-4228
mstorer@symmetrypartners.com
www.symmetrypartners.com

Give your clients access to investment strategies that are academically driven, cost-efficient, and transparent.

Symmetry creates innovative portfolios designed to strategically target various "factors," or sources of expected return. With a belief in the efficiency of markets and the benefits of broad diversification, Symmetry takes prudent exposure to those "factors" that have historically shown the potential to deliver greater, or greater risk-adjusted returns over time, and that we believe have a solid risk-based or behavioral-based foundation.

We combine multiple investment providers and strategies to access the diversification and factors we are seeking, while carefully managing the impact of fees, costs and taxes.

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W

W. E. Donoghue & Co., Inc
Curt Meyer
(800) 642-4276
advisorrelations@donoghue.com
www.donoghue.com

W.E. Donoghue is a tactical investment firm that has specialized in active risk-managed portfolios since 1986. They offer strategies through their rules-based Power product series and their JAForlines Global Tactical Allocation Portfolios. The Power index strategies utilize technical indicators to recognize shifts in market momentum and leverage proprietary tactical signals to help preserve capital in down trending markets, and they seek to offer a stronger client-centric risk-adjusted return stream over a full market cycle. The JAForlines GTA strategies employ fundamental research with a top-down macro global orientation and are designed to lower volatility while striving to produce long-term capital appreciation.

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