Money Manager Directory

Q1 2021

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E*TRADE Advisor Services. 7103 South Revere Parkway, Centennial, CO 80112. Member FDIC

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Anchor Capital

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Anchor Capital is a SEC-registered investment adviser located in Aliso Viejo, California with over $800M in assets under management. For more than twenty years, Anchor Capital has been at the forefront of tactical, risk-managed investment strategies designed to help advisors and their clients be more confident in reaching their goals. Our investment team has a combined 40 years of experience in the research and execution of quantitative trading disciplines, risk management, and alternative investment strategies. We partner with forward thinking investment advisors to improve risk-adjusted investment results and enhance client relationships through Anchor Capital Risk-Managed Mutual Funds, Institutional SMAs, and turn-key Total Solution Model Portfolios.

Atlas Capital Management

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Atlas Capital Management Corp is a fee based Registered Investment Advisory Firm in Fort Wayne, Indiana. To bring Atlas's strategies to individual investors, we work with registered representatives of securities firms and with registered investment advisors who want to offer top notch investment options to their clients. Partnering with an investment adviser and investment strategies you trust provides assurance your client's portfolio is in experienced professional hands. The goal of every Atlas Capital's risk-managed strategy is to give individuals the confidence and comfort they need to stay invested for the long term and to benefit from the power of compounding as gains accumulate gains. Please take some time to find out more about our firm's philosophy and proprietary strategies, and then start building an exciting, vital financial future with Atlas Capital.

B

Beacon Capital Management

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At Beacon, we take a fundamental approach to portfolio management. We don't just tell investors to "buy and hope" with their managed investments, nor do we advise investors to continue to rebalance a portfolio to some "ideal" 60/40 split. We are proud to provide strategically designed portfolios that strive to put the needs of today's investors first and foremost.

We design and manage investment portfolios based on research from Nobel-Prize winning scholars, mathematical formulas and mechanical execution strategies. Each of our portfolio models offers proprietary risk management solutions, with a driving goal of working to deliver consistent returns for the mid to long-term investor. This overwhelming need for consistency in today's economy could not be more clear; as of 2019, Beacon's assets under management have grown to more than $3 billion.

B Riley Fairfax Wealth Management, Inc.

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B Riley Fairfax Wealth Management manages "active" investment strategies designed to build client wealth during bull markets, while simultaneously protecting client capital from losses during severe bear markets. Fairfax's "Tactical Investment Strategies" are "all weather" conservative strategies designed to (1) stay fully invested in the market during the good times of Bull Markets, and (2) to exit the equity markets in the bad times of a Recession/Bear Market and then invest in cash, money markets, treasury bonds, gold and defensive investments during long-term bear market/recessions. Investment Strategies: Permanent Portfolio-Bond Alternative Strategy; Global ETF Strategy; Value Stock Strategy; Balanced Value Stock Strategy.

BTS Asset Management

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Founded in 1979, BTS is one of the oldest risk managers, managing traditional assets with a nontraditional approach. BTS has a multi-year track record in tactical fixed income and equity management. BTS has experience managing through several market cycles, recessions, recoveries, and multiple up and down interest rate periods. Our goal is to find opportunities with the potential to take advantage of rising markets while working to manage losses during downturns. BTS believes in a capital preservation approach seeking to deliver consistent, steady returns over the long haul, while mitigating downside risk to the extent possible.

C

CMG Capital Management Group, Inc.

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Columbus Macro

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Columbus Macro, LLC is an independent, boutique, multi-asset manager. We specialize in institutional-quality asset allocation, building global, risk-aware portfolios that adapt to changing environments and current information. Our top-down proprietary process incorporates both strategic and tactical investment techniques, seeking to leverage the best of both approaches. We utilize advanced statistical methods, financial engineering, and academic rigor in an effort to preserve and grow client capital.

Crescat Portfolio Management

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Crescat is a global macro asset management firm. Our mission is to grow and protect wealth over the long term. We deploy tactical investment themes based on proprietary value-driven equity and macro models. Our goal is industry leading absolute and risk-adjusted returns over complete business cycles with low correlation to common benchmarks. We apply our investment process across a mix of asset classes and strategies to assist with each client's unique needs and objectives.

Crosspoint Capital Strategies

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The Crosspoint Accelerated Growth Strategy is an investment strategy that shifts between U.S Index ETF's and 100% cash based on the condition and trend of the U.S. stock market as determined by the firm's proprietary Market Sentiment Indicator (MSI). When the MSI is Positive, the strategy will invest in 0-4 Leveraged Index ETF's weighted between 0-50% of the portfolio based on distinct technical parameters. When the MSI is Negative, the strategy will decrease market exposure.

D

Donoghue Forlines LLC

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Donoghue Forlines, LLC (formerly W.E. Donoghue & Co., LLC and JA Forlines Global, LLC) is a tactical asset management firm that has specialized in active risk-managed portfolios since 1986. The firm provides professionally managed separate account, mutual fund, variable insurance trust and CIT strategies offered primarily through financial planners, advisors, and brokers through broker dealer and independent RIA intermediaries. Donoghue Forlines offers a diversified solution mix consisting of fundamentally driven Global Tactical Allocation ETF Portfolios, Rules-Based Tactical Allocation Portfolios, and Blended Tactical Allocation Portfolios that utilize both rules-based and fundamentally driven tactical strategies. The firm is passionately committed to assisting advisors and their clients to build a more secure future. Donoghue Forlines employs technical and fundamental top-down global macro analysis to build strategies to help clients reduce volatility while striving to produce long-term capital appreciation. The firm emphatically does not believe in “the set it and forget it approach” to managing risk and has an emphasis on downside protection.

E

Earth Equity Advisors

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Since 2004, Earth Equity Advisors has been helping clients align their investments with their values. Focused only on socially and environmentally responsible investing, the firm manages assets for individuals, retirement plans, trusts and institutions across the country. Offering diversified, fossil fuel free mutual fund portfolios, and individual stock portfolios, the firm focuses on positive investing, focusing on what do we want to own, not just exclusion. Earth Equity Advisors is a Certified B Corporation, a 1% For the Planet signatory and members of US SIF: The Forum for Sustainable and Responsible Investing and E2: Environmental Entrepreneurs.

F

Flexible Plan Investments

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Since 1981, Flexible Plan Investments has been dedicated to preserving and growing wealth through dynamic risk management. We are a turnkey asset management program (TAMP), which means advisors can access and combine our many risk-managed strategies within a single account. Our fee-based separately managed accounts can provide diversified portfolios of actively managed strategies within equity, debt, and alternative asset classes using mutual funds, ETFs, or variable annuities on an array of different platforms. We also offer advisors our OnTarget Investing tool to help set realistic, custom benchmarks for clients and regularly measure progress.

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Horizon Investments, LLC

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Horizon Investments' mission is to provide goals-based investment management strategies that will empower financial advisors to help their clients achieve their most important financial objectives throughout life. Horizon has identified three unique stages that investors encounter when seeking investment-related goals and has created goals-based strategies designed to accumulate, preserve, and distribute wealth to address the demands of each stage.

- GAIN (Accumulation Strategies) – A flexible, opportunistic approach seeking investments in global markets with the goal of providing long term capital appreciation.
- PROTECT (Preservation Strategies) – A systematic approach designed to avoid catastrophic losses and eliminate emotionally-driven investing decisions when markets are turbulent.
- SPEND (Distribution Strategies) – A dynamic approach to retirement income designed strike the ideal balance between current income and the longevity of retirement goals.

Howard Capital Management

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Our goal is to protect and defend your assets, while seeking upside potential. We understand the time, money and stress it takes to regain losses during market downturns. It is our mission to give you peace of mind and guide you through complex market conditions that arise while investing. The investment strategies we use at Howard Capital Management, Inc. are defensive and tactical. We believe tactical management is a powerful, straightforward solution to navigating market volatility while striving to drive performance and hedge against inflation. Through our defensive, pro-active approach to investing, we monitor market conditions daily in conjunction with the use of our HCM-BuyLine® tool, to help your portfolio maximize its potential.

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Legg Mason

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Legg Mason is a uniquely diversified global asset management leader, committed to expanding choice and capabilities across a spectrum of asset classes to support the long-term goals of individual and institutional investors.

M

Manning & Napier

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Over the last four decades, our disciplined, team-based approach has meant stability of process through varying market conditions. Our flexibility, combined with in-depth analysis, allows us to identify attractive investment options and selectively adjust our portfolios as environments and valuations change. Founded in 1970 in Rochester, N.Y., we are a majority employee-owned firm offering a variety of U.S. and non-U.S. equity, fixed income, ETF-based, and balanced investment strategies.

Morningstar Investment Services

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Guided by seven investment principles, the group is fiercely independent, which allows it to stay focused on its mission to design portfolios that help investors reach their financial goals. The group's global investment management team works as one to apply its disciplined investment process to all strategies and portfolios, bringing together core capabilities in asset allocation, investment selection, and portfolio construction. This robust process integrates proprietary research, patented methodologies, and leading investment techniques.

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O

Ocean Park Asset Management, Inc.
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Ocean Park Asset Management has been helping investors achieve their financial goals for more than thirty years. This is accomplished with a rules-based, quantitative risk-limiting investment process. This distinct process has produced compelling results with low volatility and has reinforced our reputation as a trusted partner to advisors nationwide during strong and tumultuous market conditions.

P

Peak Capital Management
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We believe effective portfolio management begins with an understanding of risk. All financial markets are subject to varying degrees of volatility. Peak Capital strives to manage uncertainty by adhering to a disciplined, multi-strategy approach that incorporates non-correlated assets. We are a research-driven firm that utilizes a team approach to portfolio management. Our regularly published research includes the monthly PCM Report, Portfolio Roundups as rebalancing occurs, quarterly overviews for each of our investment models, and quarterly Fact Sheets. All models are dynamically allocated based on an optimization of risk and opportunity identified by our research. We are dedicated to providing the advisory community and clients with low-cost, high transparency solutions for growth as well as income-oriented portfolios. Our methodology has been tested in extreme market environments. Our goal is to leverage the intellectual capital we have developed over decades of portfolio management in partnership with thoughtful advisors.

Portformulas
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Known as the Formulaic Trending Money Manager®, USA Financial Portformulas® is an unconstrained, multi-cap equity manager. Using a quantitative and transparent approach to asset management, Portformulas is specifically designed with the ability to go to cash and allocate away from equities when the trends dictate. The strategies are 100% formula driven with a reapplication of the formulaic criteria applied at the beginning of every month. Each strategy empowers investors to know why investment decisions are made and what will trigger a change in the future.

Q

Q3 Asset Management Corporation

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Q3 Asset Management offers a suite of managed strategies designed to help individuals achieve their investment goals. Our services are available exclusively through investment professionals, as we believe advisors play a vital role in guiding investors to their financial destination. Each of our strategies have distinct primary objectives but all share an equal emphasis on the most important aspect of successful investing – minimizing losses. We are firm believers that true diversification lies in combining non-correlated investment approaches to create a portfolio that can succeed in all market environments.

R

S

Symmetry Partners, LLC

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Give your clients access to investment strategies that are academically driven, cost-efficient, and transparent.

Symmetry creates innovative portfolios designed to strategically target various “factors,” or sources of expected return. With a belief in the efficiency of markets and the benefits of broad diversification, Symmetry takes prudent exposure to those “factors” that have historically shown the potential to deliver greater, or greater risk-adjusted returns over time, and that we believe have a solid risk-based or behavioral-based foundation.

We combine multiple investment providers and strategies to access the diversification and factors we are seeking, while carefully managing the impact of fees, costs and taxes.

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These money managers and other third-party providers and E*TRADE Savings Bank are separate and unaffiliated companies. No information presented constitutes a recommendation by E*TRADE Savings Bank or its affiliates to buy, sell, or hold any security, financial product, or instrument discussed therein or to engage in any specific investment strategy.

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