

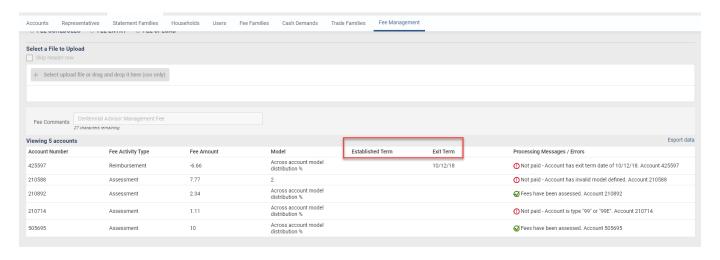
## Self-Service Fee Upload Enhancements

**Now Live** 

We have added functionality to our self-service fee credit/assessment upload capabilities and fee processing reports within Liberty to improve efficiency and visibility.

## **Enhancements**

- Added established term and exit term dates for an account when enacting a fee upload. The fields will be shown in Liberty and the corresponding fee processing reports.
  - o If there is an exit term date on the account, the fee assessment will be rejected.
  - If there is an established term date on the account, the fee assessment will be processed, and the user will be alerted.
  - This will alert the user of the possible account closing (ESTB.TERM date present), which could result in an overdraft from the fee processing.
- A firm-level setting will allow each firm to specify whether they allow fee assessments on accounts that do not hold sufficient cash to cover/pay the fee.
  - This will allow the user to process fees according to business model.
  - o If the setting is enabled and the user attempts to assess fees on an account with insufficient cash, then the specific fee assessment will error, and the user will be notified.
- Reformatted the success/failure processing message, placing the account number at the end, making it easier
  for the user to sort the processing messages and identify those accounts that need attention.



If you have any questions or need assistance, please contact your Client Services Advocate.

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

Axos Advisor Services is a trade name of Axos Clearing LLC. Axos Clearing LLC provides back-office services for registered investment advisers. Neither Axos Advisor Services nor Axos Clearing LLC provides investment advice or make investment recommendations in any capacity. Securities products are offered by Axos Clearing LLC, Member FINRA & SIPC. Axos Clearing, LLC does not provide legal, accounting, or tax advice. Always consult your own legal, accounting, and tax advisors.