

Your clients with E*TRADE Advisor Services accounts will soon receive notice of upcoming custodian changes



We are committed to keeping you informed regarding the upcoming asset acquisition of the E*TRADE Advisor Services business by Axos Clearing LLC. With this in mind, a notice will be sent to all clients who have assets under custody at E*TRADE Advisor Services notifying them of an upcoming change of custodian to Axos Clearing. This client notice includes an advance copy of Axos Clearing's terms and conditions in the form of a Customer Account Agreement and Privacy Notice.

The notice is designed to notify clients of the impending custodian change and the consequent changes to their accounts, such as their new insurance protection. Clients will receive this physical mailing beginning the week of June 21, 2021. They will not be required to take any action.

Click below to view an advance copy of the documents your clients will receive via mail:

- [Notice of Custodian Change](#)
- [Customer Account Agreement and Privacy Notice](#)

We also encourage you to review the [FAQs](#) provided to help you address questions your teams or clients may have. Please share this announcement with your team, including any affiliated advisors, as you deem appropriate.

We will soon be sending further communications with more details about the upcoming transition to Axos Clearing. If you have any questions or concerns about this client mailing, please contact your Client Service Advocate or call us at 303-705-6400.

Sincerely,
E*TRADE Advisor Services

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

The E*TRADE Financial, LLC family of companies provides financial services, including trading, investing, investment advisory, RIA custody and banking products and services.

E*TRADE does not provide legal, accounting, or tax advice. Always consult your own legal, accounting, and tax advisors.

© 2021 E*TRADE Savings Bank, doing business as "E*TRADE Advisors Services." Member FDIC. All rights reserved.