

Market and/or Early Market Closures – New Year’s Day

Trade Date	NYSE	NASDAQ	Bond Association	Banks/ Fed. Res.
12/29/23	OPEN	OPEN	OPEN	OPEN
1/1/24	CLOSE	CLOSE	CLOSE	CLOSE
1/2/24	OPEN	OPEN	OPEN	OPEN

“OPEN” = Open all Day “CLOSED” = Closed all Day

If you have any questions concerning specific mutual funds’ closing times or settlement, please contact the specific fund company for more information. Some mutual fund closings will be different from those times listed above. Trades that normally settle on 1/1/24 will settle on 1/2/24 due to the closure.

- Non-FundSERV Approved Trades may not be executed IF:
 - Fund is closed for business
 - Trade is approved after an early cutoff time
- No ACH or wires will be processed on 1/1/2024.

It is always important that you check your trade queues (Recommended, Intent, Approved) at the end of each day to determine that the status appears as you instructed. It is equally important that you verify the next day that the trades are posted properly. As always, please bring any errors to the attention of your client service advocate both verbally and in writing.

Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.

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