

**Account Owner  
Regulatory  
Communications**



**Axos Advisor Services does not facilitate regulatory communications in statements to clients**

Due to compliance and regulatory obligations associated with these requests, Axos Advisor Services does not facilitate RIA-required regulatory communications to account owners by either direct mail or as inserts with quarterly statements.

We do, however, continue to facilitate the mailing of your newsletter and any other non-regulatory communications as inserts with account owner quarterly statements. As always, if you would like to embed a message to your clients on your quarterly statements, please send your customized message to your Client Services Advocate who can help facilitate this.

Please contact your Client Service Advocate with any questions or concerns related to statement inserts.

**Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.**

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