# CORPORATE ACTION ANNOUCEMENTS

**WEEK OF:** 10/20/2021 to 10/26/2021

| DESCRIPTION: | CUSIP: | SYMBOL: | EVENT TYPE: | EFFECTIVE DATE: | RATE: |
|---|---|---|---|---|---|
| CAPITAL ONE FINANCIAL CO 5.2% PFD CALLAE | 14040H865 | COFpG | Full Call | 12/01/2021 | |
| FIRST BAPTIST CHURCH OF PINE BD 7.75% 5/1 | 31932YAU7 | | Partial Call | 11/01/2021 | |
| GEA GROPU AG SPON ADR | 361592108 | | Full Call | 10/21/2021 | |
| INNOVATOR S&P 500 DIVERSIFIED POWER BU | 45783G102 | | Mandatory Exchange | 10/20/2021 | |
| INNOVATOR S&P INVESTMENT GRADE PREFEI | 45783G201 | | Mandatory Exchange | 10/20/2021 | |
| RETAIL PPTYS AMER INC COM | 76131V202 | | Stock Merger | 10/22/2021 | |
| FT UNIT 8421 INT RATE HEDGE | 30312K758 | | Full Call | 12/07/2021 | |
| UWHARRIE CAPITAL CORP | 918183104 | UWHR | Stock Dividend | 11/23/2021 | |