

## CORPORATE ACTION ANNOUNCEMENTS

WEEK OF: 11-03-2021 TO 11-09-2021

DESCRIPTION:	CUSIP:	SYMBOL:	EVENT TYPE:	EFFECTIVE DATE:	RATE:
ALLIANCE DATA SYSTEMS CORPORATION	018581108	ADS	Spin Off	11/08/2021	
ARISTA NETWORKS INC	040413106	ANET	Stock Split	11/18/2021	4:1
CBL ASSOCIATES LP CALLABLE 5.25% 12/01/20	12505JAA1		Mandatory Exchange	11/03/2021	
CBL & ASSOCIATES LP SR GLBL 4.6% 10/15/202	12505JAB9		Mandatory Exchange	11/03/2021	
INTERNATIONAL BUSINESS MACHINES COR	459200101		Spin Off	11/04/2021	
NORTH CAROLINA ST HSG FIN AGY HOMEOWN	658207UM5		Partial Call	12/01/2021	
REALTY INCOME CORPORATION, INC.	756109104		Spin Off	11/15/2021	
SPDR SER TR BARCLAYS CAP INTL CORP BD E	78464A151	IBND	Name Change	11/02/2021	
SPDR NUVEEN BLOOMBERG BARCLAY HIGH Y	78464A284	HYMB	Name Change	11/02/2021	
SPDR BLOOMBERG BARCLAYS SHORT TERM I	78464A334	BWZ	Name Change	11/02/2021	
SPDR BLOOMBERG BARCLAYS CONVERTIBLE	78464A359	CWB	Name Change	11/02/2021	
SPDR BLOOMBERG BARCLAYS INTERNATIONAL	78464A516	BWX	Name Change	11/02/2021	
SPDR BLOOMBERG BARCLAYS INVT GRADE FI	78468R200	FLRN	Name Change	11/02/2021	
CHINA MOBILE (HONG KONG) LIMITED AMERIC	16941M109		Full Call	11/03/2021	
CHINA MOBILE (HONG KONG) LIMITED AMERIC	16941M109		Mandatory Exchange	11/03/2021	
TD SYNEX CORPORATION	87162W100	SNX	Name Change	11/04/2021	
INTERSTATE PWR & LT CO PERP PFD SER D C	461070856	IPLDP	Full Call	12/15/2021	
MOBILE TELESYSTEMS PUBLIC JOINT STOCK C	607409109	MBT	Name Change	11/05/2021	
MURPHY OIL CORP SR GLBL NT 24 6.875% 8/15	626717AH5		Partial Call	12/02/2021	
PERNOD RICARD S A ADR ISIN#US1742642070	714264207	PDRDY	Mandatory Exchange	11/05/2021	
DIANA SHIPPING INC. COMMON STOCK PA   V	Y2066G104	DSX	Spin Off	11/10/2021	
CALVARY CHAPEL NEWPORT NEWS 6.3% 1/2	13152MAF7		Full Call	11/17/2021	
ESC CB EXCO RESOURCES DTD 09/15/2010 7.5	269ESCAC3		Liquidation	11/08/2021	
NEXPOINT DIVERSIFIED REAL ESTATE TRUST	65340G205	NXDT	Name Change	11/08/2021	