

**Dimensional Mutual Funds  
Converting to ETFs  
on September 10, 2021**



The two Dimensional mutual funds listed below are scheduled to convert to ETFs on Friday, September 10, 2021. Please find below a summary of important dates and delivery information that will be helpful in planning for this conversion event. Please note that all accounts holding a share balance of the two Dimensional mutual funds listed below will convert to corresponding ETF shares on September 10, 2021, and fractional shares will be redeemed out for cash.

| Mutual Fund to be Converted                   | Mutual Fund CUSIP | Mutual Fund Ticker | New ETF                                     | New Ticker | New CUSIP |
|---|-------------------|--------------------|---|------------|-----------|
| Tax-Managed DFA International Value Portfolio | 233203546         | DTMIX              | Dimensional International Value ETF         | DFIV       | 25434V807 |
| T.A. World ex U.S. Core Equity Portfolio      | 23320G505         | DFTWX              | Dimensional World ex U.S. Core Equity 2 ETF | DFAX       | 25434V880 |

**Blackout periods**

- September 9, 2021 – **ALL** client trade activity will be restricted. There will be no Purchases, Exchanges, Transfers, or Redemptions of the 4 mutual funds listed above.
- September 10, 2021 - **ALL** client trade activity will be restricted. There will be no Purchases, Exchanges, Transfers, or Redemptions of the 4 mutual funds listed above.
- There will be **NO** ACAT or Transfers of these positions the week of September 6-10, 2021.

The ETF shares will be placed in client accounts over the weekend of September 11th in a non-tradeable and non-transferrable location in the account and the mutual fund shares will be removed from the account at the same time. The shares are expected to be received from Dimensional to Axos Advisor Services on the morning of September 13, 2021. As soon as Axos Advisor Services receives the shares from Dimensional, the shares will be released and can be traded or transferred.

Please check your allocations of these products in your models before September 10, 2021 and after the corporate action has been processed on September 13, 2021, to ensure all allocations are correct before any new trades are processed or approved.

Please contact your Client Services Advocate with any questions.

**Investment Products: Not FDIC Insured - No Bank Guarantee - May Lose Value.**

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