

[Sending email address] info@advisor.etradefinancial.com

[Subject] – E*TRADE Advisor Services Accounts Require Attention

[To] - All emails tagged to the ATTEST email purpose code

[Email body]

Certain accounts managed by you have been flagged for escheatment processing due to the investor's lack of direct contact with E*TRADE Advisor Services. We would like you to review the list of accounts and verify if you have had any client interaction with these investors. Confirmation is required to exclude these accounts from the escheatment process.

Please login to Liberty to review the **RIA Attestation** dated <<Date>> which is available under the 'Completed Outputs' section of the Documents tab.

Liberty login – <https://etrade.com/liberty>

If you have any questions or need assistance accessing the document, please contact your Client Service Advocate.

Note: This email message was sent from a notification-only address where incoming emails are not monitored. Do not reply to this message.

[Disclosures]

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[Cc] – A copy of this email will be sent to the CSA.