Name
Address
Address
City State Zip

RE: E*TRADE Advisor Services Solo 401(k) Account

Dear Valued Client,

You are receiving this notification as Plan Trustee for a Solo 401(k) serviced at E*TRADE Advisor Services. As you know, Trust Company of America was acquired by E*TRADE Savings Bank on April 9, 2018, and is now operating as E*TRADE Advisor Services. As a result of the acquisition, the prototype plan document approved by the Internal Revenue Service has been updated to reflect E*TRADE Savings Bank as the provider.

Enclosed are an updated IRS Opinion Letter and PPA Base Plan Document for your records in order to continue relying on the IRS-approved status.

Your previously completed adoption agreement continues to include the elections and features currently used by the plan.

Please contact your investment advisor with any questions, or I can be reached at 303-705-6182.

Sincerely,

Sharon Brett

Sharon Brett
Director, Client Services

CC: **Investment Advisor**

Enc. IRS Opinion Letter and PPA Base Plan Document