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LISTING

# A Absolute Capital Management

Brenden Gebben

(412) 388-1600 x224

BGebben@AbsCap.com

www.AbsCap.com

Absolute Capital is a registered investment advisor that provides fully discretionary, turnkey third party money management programs. The Capital Constructor program from Absolute Capital provides clients with a professionally managed portfolio of exchange traded funds, designed to be responsive to changing market conditions. It features diversified portfolio construction, dynamic asset class rotation and professional security analysis and selection among the growing number of exchange traded funds available. Fully dedicated to providing professional money management solutions, we believe that Absolute Capital is the astute investor’s choice for management services to navigate the dynamic and demanding investment landscape.

# Alpha Investment Management

Diane Embree

(513) 621-9400

diane@alphaim.net

www.alphaim.net

Alpha Investment Management specializes in risk management. Alpha seeks to generate positive annual returns under all market conditions by exploiting recurring seasonal factors which historically have exerted a profound and predictable effect on the distribution of stock market returns. The four-year presidential election cycle would be an example of a cyclical structure which systematically “skews” returns over time. Alpha has identified several such factors at work in the market and exploits them to increase long-term returns while reducing market risk substantially. Alpha offers both fixed-income and equity strategies for conservative investors seeking smooth and consistent growth of capital.

#  AMP Wealth Management

Dr. Jerome A. Mahalick

(414) 332-1011

j.mahalick@ampinvestment.com

[www.ampinvestment.com](http://www.ampinvestment.com)

AMP Wealth Management is a GIPS compliant, SEC Registered RIA firm with performance history beginning in 1995.  The portfolio components will be adjusted based on our proprietary macroeconomic analysis tool, the EcoMatrix.  We offer the following investment strategies:

* Rising Dividend Stock Portfolio with a Morningstar 5 Star Rating for 5 years.  This portfolio invests in high quality companies with a goal of providing appreciation and increasing dividend income.
* Risk based, dynamically managed, mutual fund Quantfolios are diversified portfolios built using quantitative and qualitative analysis with the goal of providing stable consistent returns in varying market conditions utilizing the entire mutual fund universe

#  Anchor Financial Group

Joe Richard

(800) 934-8377 x2

Joe@AnchorFG.com

www.AnchorFG.com

# Artifex Financial Group

Doug Kinsey, CFP AIFA

(855) 752-6644

admin@artifexfinancial.com

www.artifexfinancial.com

Artifex Financial Group offers six portfolio models for advisors to choose from, or three blended allocations based on an investor’s time horizon.

Our models reflect our belief that a value-oriented, focused strategy with low turnover will provide the most pleasing results over time. We construct our models carefully using individual stocks, mutual funds and ETFs with the intention to keep the holdings for a 1-5 year time horizon. Our approach emphasizes bottom-up research over asset allocation theory. Our mid, small and alternative models will exhibit slightly higher turnover.

Our results have shown strong alpha with lower risk since inception.

# Ashton Royce & Company

Steve Johnson

(757) 253-2799

Steve@AshtonRoyce.com

www.AshtonRoyce.com & www.AshtonRoyce.FinlSite.com

Grow your business, enjoy more freedom, and spend less time trading. Ashton Royce & Company will provide your firm and clients with a Strategically Allocated, Tactically Adjusted, ETF Portfolio built around 20 asset classes holding approximately 100 positions. Rebalancing is performed on schedule and periodic adjustments are made in order to optimize portfolio growth. Historical trends and current market events are monitored regularly. Tactical adjustments can be made when market conditions dictate in order to protect against serious market downturns. Contact Steven G. Johnson, Chief Investment Officer, for more information. Sophisticated Investing Made Simple©.

# AthenaInvest Advisors LLC

David Stock

(877) 430-5675

david.stock@athenainvest.com

[www.athenainvest.com](http://www.athenainvest.com)

AthenaInvest is the industry leader in Behavioral Portfolio Management with a patented research process built on a deep academic foundation developed over 35 years of interaction with leading academics and institutional managers by C. Thomas Howard, PhD, CEO and Director of Research. AthenaInvest analyzes persistent and predictive behavioral factors to build innovative investment strategies including concentrated equity, high-dividend equity and global tactical equity.

#

# B BTS Asset Management

Isaac Braley

(800) 343-3040

ibraley@btsmanagement.com

[www.btsmanagement.com](http://www.btsmanagement.com)

Avoiding loss of principle is key to long-term investment success and can be a very ambitious undertaking, considering the recent financial volatility. Founded in 1979, BTS believes in a capital preservation approach seeking consistent, steady returns over the long haul, while mitigating downside risk to the extent possible. While our strategic portfolios seek solid returns in both up and sideways markets, our tactical strategies aim to reduce downside while still providing upside potential. BTS manages over $2 billion in client assets and has a track record spanning over 3 decades, providing the experience and service of an established money manager.

# C CMG Capital Management Group, Inc.

Jason Wilder

(610) 989-9090

Jason@CMGwealth.com

www.CMGwealth.com

Founded in 1992, CMG Capital Management Group, Inc. (CMG) is an ETF strategist and leading provider of tactical investment strategies. Our objective is to provide our clients with balanced, consistent returns through both positive and negative market environments.

Based on a quantitative foundation of relative strength and momentum, our tactical equity and fixed income strategies seek to buy securities exhibiting the strongest performance trend over a pre-defined time period. With built in risk management, our strategies provide an extra layer of diversification relative to traditional growth and value models.

Partnering with CMG allows you to:

* Free up more time to manage client relationships
* Access a wide range of tactical expertise
* Use tactical ETF strategies to dampen volatility and manage downside risk
* Deliver a custom, flexible framework for portfolio construction
* Leverage CMG as a trusted resource for your business

CMG has been on the Trust Company of America platform since 1998.

**D Dauble+Worthington Equity Portfolios**

John Worthington

 812-401-8706

 jworthington@dfwequity.com

 [www.dwequity.com](http://www.dwequity.com)

Dauble+Worthington Equity Portfolios (D+WEP) specializes in absolute return, tactically

allocated, trend-following investment programs. Our portfolios focus on risk control with absolute

and relative price performance. D+WEP concentrates on professional money management,

research, and trading. We actively manage portfolios and trade accounts for our clients. By

balancing risk management with growth, we believe we can show why our strategies are

appropriate for most investors. Using our quantitative research, we have established proprietary

trading systems which result in low draw-downs and consistently positive returns.

# E Ebert Capital Management, Inc.

Benjamin Ebert

(707) 825-8200

ben@ebertcapital.com

www.ebertcapital.com

ECM provides outsourced portfolio solutions for financial advisors, using our patent-pending quantitative value investing approaches. ECM uses its algorithmic stock and ETF “scoring” processes to manage equity and fixed income portfolios using individual equities and ETFS. Portfolios are constructed with a focus on investing in undervalued stocks and geographic areas to provide investors with access to strong performance and diversification. Emotions and opinions are replaced by logic and a foundation in mathematics and statistical studies. Our approach is unique from the herd and each model portfolio is thoroughly backtested to maximize returns. Past performance is no guarantee of future results.

# F Fairfax Global Markets LLC

Paul Dietrich

(540) 905-5858

PDietrich@Fairfax-Global.com

 www.fairfax-global.com

Fairfax Global Markets LLC offers five model portfolio strategies: (1) Fairfax Asia & Emerging Markets Strategy. (2) Fairfax Global Gold, Energy & Commodities Strategy. (3) Fairfax Gold & Precious Metals Strategy. (4) Fairfax Global Markets Strategy (a tactically managed global investment strategy that invests in global companies with low PE’s, high ROE, high free cash flow generation—a tactical global “value” strategy). (5) Fairfax Religious Conservative, Values Screened Growth Strategy. During volatile or bear markets Fairfax’s tactical strategy can hold significant percentages of U.S. treasuries, cash, or other defensive investments. Visit our website for GIPS performance for each strategy.

#  FEG Advisors

Anthony L. Festa, CFA

(513) 719-5111

AFesta@FEG.com

www.FEG.com

FEG provides an outsourced portfolio solution for financial advisors. FEG has helped large institutions build portfolios for over 20 years and currently advises over $30 billion in client assets. Portfolios are constructed using the same philosophy applied to institutional clients: a risk-managed, low cost approach that seeks to add value through asset allocation and manager selection. Asset allocation is dynamic. Manager selection includes ETFs and mutual funds by a research team that conducts 900 manager meetings annually.

# Financial Growth Management, Inc.

Ray Hansen

(714) 637-7784

Fgminc1@sbcglobal.net

Financial Growth Management is a registered investment advisor based in California that utilizes a mathematical algorithm to allocate client assets between money market and high-yield bond funds. This investment methodology has produced very favorable risk-reward returns and since its inception in 2003, has not experienced a losing year. It produced positive returns during the major bear market of 2008. So far during 2009, ending September 30, it has significantly outperformed most major averages. All our results are net of fees. Low risk and preservation of capital best describe this program. Past performance is no guarantee of future results.

# G Garrett Capital, Inc.

 David Garrett

 (800) 657-2600

 d.garrett@garrettcapital.com

#  [www.garrettcapital.com](http://www.garrettcapital.com)

Garrett Capital offers the following models:

1. Garrett Capital High Yield Bond model (GCHY). GCHY is a tactical strategy that will go 100% cash in down markets. The strategy will use high yield ETFs and mutual funds. The strategy will not go short.
2. Garrett Capital Acro model (ACRO). Acrophobia is the fear of falling. This strategy will short any asset class that seems appropriate including stocks, bonds, commodities, etc. There is no limitation on asset classes. The model may also go long, use leveraged securities, and move to cash. The overall portfolio may be leveraged at any given time. ACRO is primarily designed or falling markets.

#  Green Investment Management, Inc.

Jackie Green

(800) 950-8004

Jackie@GIMLink.com

www.GIMLink.com

Since 1984, Green Investment Management (GIM) has been aligning with financial professionals to provide clients with active asset allocation management services. GIM offers 13 portfolios from which to select, ranging from our conservative Guardian Fixed Income to our more aggressive Opportunity Style allocation. GIM manages these portfolio allocations using exchange traded funds and traditional mutual funds. GIM monitors market indicators on a daily basis and our disciplined methodology involves making periodic and responsive tactical changes to each asset allocation strategy in an effort to reduce risk and/or enhance returns.

# H Heritage Capital Management

Jamie Turk

(630) 250-4700

jturk@heritagecapitalmanagement.com

www.HeritageCapitalManagement.com

Founded in 1989, Heritage Capital Management offers actively managed stock and ETF portfolios. Our management philosophy is based on four key tenets: (1) Seek Absolute Returns, (2) Own the Best and Ignore the Rest, (3) Go Where the Growth Is, and (4) Always, Always, Always Manage Risk. Our Flexible Growth ETF Portfolios are designed to deliver “absolute returns” and utilize a “go anywhere” approach as there are no preset allocation boundaries to asset classes. Our “Top Stock” portfolio owns the top rated stocks in terms of earnings strength and company/industry performance. All portfolios incorporate strict sell/risk management principles.

#  Hg Capital Advisors, LLC

Ted Lundgren

281-589-2632

Ted.Lundgren@hgadvisors.com

[www.hgadvisors.com](http://www.hgadvisors.com)

Hg Capital Advisors is a registered investment advisor focused on developing quantitative investment strategies for individuals and institutions. We offer equity, bond-yield related, and multi-manager active programs, as well as strategic and tactical allocation programs. Our proprietary process is designed to produce allocations that outperform those of standard allocation models. Hg Capital’s goal is to meet our clients’ comfort levels in all market environments by appropriately mixing multiple strategies using composites at Trust Company of America. We also provide our programs independently for investment professionals who elect to use their own portfolio mix as they deem appropriate for their clients.

#

# Horizon Investments, LLC

Brad Stoehr

(866) 371-2399

BStoehr@horizoninvestments.com

www.HorizonInvestments.com

Our goal is to actively harness investment opportunities presented in the global marketplace, enabling our investors to accumulate, protect and spend assets in accordance with their goals.

* **Accumulate**- Active Asset Allocation- Unique multi-disciplined approach blends economic, quantitative and fundamental inputs (Horizon EQF) with the goal of delivering consistent performance above stated benchmarks
* **Protect**- Principal Protection- Return of Principal\* over a 7-year period, less fees and distributions \*Not a guarantee against loss or decline in portfolio value
* **Protect**- Risk Assist- Systematic increases/reductions in portfolio risk allocation to reduce the impact of downside volatility and prevent or mitigate unrecoverable losses
* **Spend**- Retirement Spending- Balanced allocation between Active Allocation and Reserve Account to reduce longevity risk (outliving assets) and make the most of inflation-adjusted dollars over the investor’s retirement

# I ICON Advisers, Inc.

Brian Rotter

(303) 328-9254

brotter@iconadvisers.com

[www.iconadvisers.com](http://www.iconadvisers.com)

ICON Advisers, Inc. (“ICON”) was founded in 1986 as Meridian Asset Management Company and became a registered investment adviser in 1991. ICON’s disciplined, quantitative investment system utilizes sector and industry rotation to invest in U.S. and foreign securities of any market capitalization. ICON offers its disciplined, quantitative investment system across a wide range of portfolio solutions, including mutual fund allocation portfolios as well as diversified and sector mutual funds.

# Interactive Financial Advisors

Joanne Woiteshek and Rick Peterbok

(630) 472-1300 x206

Rick@SWCares.com

# L Lunt Capital Management, Inc.

Kevin Weidmer

(801) 503-3035

kevin@luntcapital.com

www.luntcapital.com

Lunt Capital Management provides tactical ETF portfolios to advisors and their clients.  These portfolios provide asset class diversification through positions in equities, fixed income, and alternative assets.  As well this asset class diversification is complemented by a tactical overlay with strategies including long/short, in or out, or rotation towards strength.

# M Matson Money, Inc.

Steve Miller

(513) 204-8000

steve.miller@matsonmoney.com

#  Merit Advisors, Inc.

Donald L. Dillingham

(405) 286-9759

don@meritadvisors.com

www.meritadvisors.com

Merit Advisors offers 2 managed fixed-income programs: (a) one that uses high yield bond mutual funds and (b) one that uses multi-sector bond mutual funds. Both programs generate 4 to 5 round turns a year. Client accounts can be held at any of several trading platforms. Merit offers its services in two ways: (a) Merit will manage the accounts of other RIAs and split the advisory fees 50/50, or (b) Merit will sell its signals to other RIAs for a percentage of the advisory fees. Merit's web site at www.meritadvisors.com shows 23 years of independently verified returns for its high yield bond program and a shorter history for its multi-sector bond program.

#  Michael D. Coleman, Ph.D

Bonnie Mitchell

(714) 960-2316

BEMitchell@UnitedPlanners.com

www.michaelcolemanphd.com

We offer two actively managed absolute return mutual fund portfolios, targeting high single digit returns net of all fees over any full market cycle, with a proprietary sell discipline designed to keep any quarterly loss to -4% or less.

“Retirement Income” (RI) for IRAs uses High Yield Corporate Bonds and other high yielding, low daily volatility asset classes on offense. On defense we use money market funds or counter-cyclic low volatility funds.

“Tax-Advantaged High Income” (TAHI) for highly liquid taxable accounts. On offense we use high yield municipal bond funds, and on defense money market funds or counter-cyclic low volatility funds.

# P Portfolio Design Services, LLC

Bruce Swanson

(303) 870-5634

bruce@portfoliodesignservices.biz

www.portfoliodesignservices.biz

Portfolio Design Service’s Investment philosophy is grounded in long term academic research. We provide portfolio analysis as a tool to prove that diversification and the use of asset classes with properly structured correlations is essential to eliminating non-systematic (unrewarded) risk. We use Structured Asset Class management to strive to lower overall volatility, consistent with achieving targeted annual returns. Criteria for the investments are liquidity, transparency of costs, and suitability. These criteria can be found in certain fund families like Dimensional or DFA. Our model portfolios are differentiated for qualified and non-qualified applications for individuals, families, foundations, and endowments.

#  Portfolio Strategies, Inc.

John Williamson

(253) 284-9567

john@portstrat.com

www.portstrat.com

Portfolio Strategies’ mission is to pursue solid and steady growth, and to contain risk and volatility. The company combines innovative approaches to money management with teams of seasoned portfolio managers. Portfolio Strategies offers a range of investment options spanning the needs of today’s investors. Each investment program has its own distinct character and objectives to help provide maximum diversity in approach, while retaining the company’s core commitments to capital preservation and growth.

All our programs provide daily liquidity because we invest in mutual funds. Each model is diversified over several asset classes to minimize loss and volatility.

# ProfitScore Capital Management, Inc.

Bill Sawyer

(888) 981-7799

Bill.Sawyer@ProfitScore.com

[www.ProfitScore.com](http://www.ProfitScore.com)

ProfitScore® has created four “absolute return fund of funds” operating as separately managed mutual fund wrap accounts. Our Unified Hedge Accounts™ incorporate a highly sophisticated form of diversification by combing up to twelve uncorrelated absolute return investment managers managing diverse asset classes, styles, and strategies inside a single client account. Not only will this style of account management improve the consistency of your performance, but it will also automatically give you the opportunity to reduce your client’s risk. Ranging from conservative to aggressive, our four portfolios can help your clients benefit from exactly the same techniques used by successful asset managers managing billions all over the world.

# Q Q3 Asset Management Corporation

Bradford Giaimo

(248) 566-1122

bgiaimo@q3tactical.com

[www.](http://www.q3am.com)q3tactical.com

**Q3 Asset Management, registered investment advisor, is a private wealth management firm specializing in tactical investment solutions. Our strategies are designed to capitalize upon the strongest market trends and employ a non-emotional approach toward investing. Q3AM’s objective is not necessarily to outperform the market each year, but to construct a portfolio that posts consistent returns through both positive and negative environments. We are firm believers that true diversification lies in combining active, passive and alternative investments and that capital preservation should take precedence over capital appreciation. Unparalleled service and professionalism is emphasized in all we do.**

# R R.T. Jones Capital Equities Management, Inc.

Robert Jones

(877) 880-2543

bob@artesysonline.com

[www.artesysonline.com](http://www.artesysonline.com)

Founded in 1987, R.T. Jones views investors as being either offensive (buy and hold/maximize gains) or defensive (buy and sell/minimize losses) in nature. Through its brand name, Artesys®, R.T. Jones offers five offensively managed and three defensively managed portfolios. R.T. Jones uses quantitative systems to construct each portfolio with an objective of maximizing gains for the level of risk taken. Periodically the portfolios are reevaluated to determine if a change in asset class selection and/or allocation is needed. R.T. Jones has developed proprietary systems for its defensive portfolios that dictate when the portfolio should be fully, partially, or not invested at all, in the more aggressive equity asset classes.

# S Safe Harbor Capital Management LLC

Curtis Purington

(612) 234-7233

curtis@safeharborcm.com

www.safeharborcm.com

Safe Harbor Capital Management is a tactical asset allocator using trend following strategies designed to capture upside moves in index funds and avoid the downside moves.

We will go 100% into any asset class as long as it is in an uptrend. We will not allocate to any trust class that is in a downtrend.

#

#  Signal Research Group, LLC

Paul Cunningham

(405) 509-2553

info@signalresearchgroup.com

www.signalresearchgroup.com

Signal Research Group, LLC. specializes in assisting other investment advisory firms. We help other advisors diversify their clients’ portfolio through tactical asset management. We have developed several proprietary investment strategies, both fixed income and equity. The strategies include:

1. High Yield Bond Strategy
2. Multi-Sector Bond Strategy
3. Emerging Market Debt Strategy
4. High Yield ETF Strategy (HYG)
5. S&P 500 Tactical Trader

Contact us for more information and to see if our strategies would be a fit in your portfolios.

# Sojourn Financial Strategies, LLC

Steven Landis, CFP®

(614) 436-8926

SLandis@SojournFS.com

[www.SojournFS.com](http://www.SojournFS.com)

Sojourn Financial Strategies is a registered investment advisor providing turnkey, third-party asset management. Sojourn is ideal for advisors who want to focus on client relationships while utilizing third-party asset management.

Sojourn strives for positive returns with controlled risk by implementing a portfolio of low-correlated, actively-managed strategies, including:

**BOND EQUITY**

High yield bonds Leveraged commodity

Emerging market bonds Trending equities

 Leveraged long-short S&P 500/NASDAQ 100

Four portfolios/models are available, based on an investor’s risk tolerance level: Conservative, Moderate, Aggressive, Income, and Income Plus.

Sojourn delivers its management in either of two forms: (a) Sojourn manages and trades accounts of RIAs, or (b) Sojourn sells signals directly to other RIAs.

# STM Asset Management

Sean McClay

(251) 259-5477

smcclay@stmassetmanagement.com

www.stmassetmanagement.com

STM Asset Management is a firm that believes in managing money with a strategy rooted in technicals and math. We take a dynamic approach to managing clients’ money. Our portfolio positions are evaluated on a daily basis and we are constantly looking for ways to grow/protect clients’ money. We offer an actively managed stock portfolio as well as two actively managed ETF portfolios. For more information contact Sean McClay, CIO STM Asset Management.

# Storehouse Financial LLC

Stephen Stull

(877) 305-3233

david@storehousefinancial.com

www.storehousefinancial.com

Your clients will love the low volatility and consistent returns of the Storehouse Financial tactical asset allocation portfolios. We use a predefined universe of equity, fixed income, or commodity ETFs and mutual funds. Our investment strategies overweight those asset classes that have the greatest potential for growth and/or capital preservation for a given economic cycle. You and your clients will be pleased with our performance and dynamic response to changing markets. Our professional support will contribute to your success.

#  Symmetry Partners

Sarah M. Bauer

(860) 734-2064

sbauer@symmetrypartners.com

[www.SymmetryPartners.com](http://www.symmetrypartners.com/)

Founded in 1994, Symmetry follows an academic, research-driven approach to asset management that seeks to harness the power of the financial markets for select advisors and the clients they serve. Leveraging the findings of more than 60 years of academic research into the dynamics of the financial markets combined with their own unique experience and insight, Symmetry creates innovative investment strategies designed to strategically target various “factors,” or sources of expected returns. With a belief in the efficiency of the markets and the benefits of broad diversification, Symmetry helps investors reach their long-term goals by carefully combining multiple investment providers to access the diversification and factors they are seeking, while carefully managing costs and taxes.

In addition to their commitment to engineering what they believe to be the most innovative and elegant investment portfolios possible, Symmetry offers marketing and branding solutions, as well as operational and technical support, to help advisors gain visibility in their marketplace with a goal of enhancing the efficiency and profitability of their businesses.

# V Verity Asset Management

Sean McGill

(919) 490-6717 Ext. 105

smcgill@verityinvest.com

[www.verityinvest.com/advisors](http://www.verityinvest.com/advisors)

Alternative Income Strategies, constructed primarily with individual securities rather than mutual funds or ETFs, are Verity’s unique offering on the Trust Company platform. Strategies include Opportunistic Income (an unconstrained income strategy investing dynamically across a very broad spectrum of income-generating securities), Enhanced Income (a short-duration strategy), and Tax-Exempt Income (a municipal bond strategy). Portfolios are actively managed, with a heavy emphasis on fundamental analysis and a priority on management of risk. They are structured to limit correlation with other strategies, to provide an alternate source of income and capital appreciation, and to potentially outperform in a rising interest rate environment

# W WealthMark, LLC

Ben Esget

(360) 734-1323

ben@wealthmarkllc.com

www.wealthmarkllc.com

WealthMark offers five turnkey portfolios designed to maximize returns per unit of risk.   We offer a volatility-hedged long only equity strategy, a risk parity all bond portfolio, a traditional risk parity portfolio, a leveraged risk parity portfolio and multi-strategy macro portfolio.  The volatility hedged equity strategy uses VIX options to hedge a long only diversified basket of equities.  The risk parity portfolios utilize risk budgeting vs dollar budgeting to spread risk equally across various scenarios like inflation deflation growth recession or rising interest rates.  Finally, the multi-strategy portfolio incorporates the best of each strategy into one super-model with a macro overlay.

#  Weatherstone Capital Management

Michael Ball

(303) 452-4374

mball@weatherstonecm.com

www.weatherstonecm.com

Weatherstone Capital Management is located in Denver Colorado metro area. They provide clients with strategies designed to address today’s turbulent markets. These strategies are designed to identify emerging trends and either defensively allocates a client’s money or position the account to participate in advancing markets. Weatherstone Capital Management provides a wide array of investment strategies allowing you, the advisor, to combine multiple tactical strategies into the clients’ account or a single tactical strategy that addresses their specific needs. The accounts are monitored daily and provide risk conscious investors peace of mind as they work toward their investment goals.

#

# Windsor Securities, Inc.

Steven G. Prusky, President
(610) 642-3100 x0
sprusky@windsorsecurities.com
[www.windsorsecurities.com](http://www.windsorsecurities.com)

Windsor has been a registered investment advisor for more than 40 years. We have a series of models available ranging from our most conservative (tactical income, with a 22 year track record, no down years, double-digit average annual return, and a worst peak-to-trough of -4.5%) to our most aggressive (6 year track record with an average annual return well over 20%). All models are based on asset allocation among domestic (equity index, bond index, and high yield) mutual funds, fees can either be fixed or performance based, and all accounts are very liquid.

We are also a family office and manage the majority of our liquid assets alongside our clients: we put our money where our mouth is!