



November 20, 2019
Principal Funds
Back-Office Communication

Fund Merger Announcement: Effective Friday, December 20, 2019, the Principal Global Opportunities Fund will be merging into the Principal Diversified International Fund. Here are the details for the merger:

Dividend and/or Capital Gains payments may be paid as part of the merger with the dates below.

- Record Date: Wednesday, December 18, 2019
- Ex-Date: Thursday, December 19, 2019
- Payable Date: Thursday, December 19, 2019
- Principal Funds will accept trades through the DTCC on the merging away funds until the dates indicated below. After the merger date, all DTCC and manual trades need to be submitted using the surviving CUSIPS.
- NSCC Trading will move to T+1 on Friday, December 13, 2019.
- Extended 4-digit NAV's will be provided the day of the merger. On Monday, December 23, 2019, the variance will be 4 decimal places for the surviving funds and will change back to 2 decimal places Tuesday, December 24, 2019.
- All account options from the merging away fund will carry over to the surviving fund.
- Non-Taxable merger transactions will use the following trans code/suffixes:
 - Purchase: 038-105
 - Redemption 040-105

Timeline for Merging the Global Opportunities Fund						
Fund Number	CUSIP ID	Ticker	Share Class	Wednesday 12/18	Thursday 12/19	Friday 12/20
4141	74255L449	PGLAX	A	Last day for NSCC Purchases, initial purchases turned off	Purchases turned off after DCC&S files are received. Allow Redemptions, Redemption Exchanges and ACATS	No purchases allowed. All Redemptions, Redemption Exchanges, ACATS turned off after DCC&S Files are received
4992	742537103	PGOIX	I	Business as usual	Last day for NSCC Purchases, initial purchases turned off. Allow Redemptions, Redemption Exchanges and ACATS	Purchases turned off after DCC&S file received. All Redemptions, Redemption Exchanges, and ACATS turned off after DCC&S Files are received

Merging Away and Surviving CUSIP Info for December 20, 2019 Merger:

Global Opportunities Fund				Diversified International Fund			
From Fund Number	CUSIP ID	Ticker	Share Class	To Surviving Fund Number	CUSIP ID	Ticker	Share Class
4141	74255L449	PGLAX	A	4108	74254T328	PRWLX	A
4992	742537103	PGOIX	I	4674	74253Q879	PIIX	I

For questions, please contact: gmpfdbsupport@principalfunds.com

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