Trusted Contact Person

Trusted Contact Person form is now available for Liberty accounts

A **trusted contact person** (TCP) is an individual that an account owner can authorize for E*TRADE Advisor Services to contact if there is a reasonable belief that his or her account may be exposed to possible financial exploitation or fraud.

This form is now available on the E*TRADE Advisor Services' website at: https://us.etrade.com/advisor-services/forms-applications/liberty. A version for your use is also provided with this feature.

What is a TCP?
A TCP is an individual identified by the customer whom we may contact and disclose information to in the event that there is suspected financial exploitation, concerns over health status, or to verify identity of legal guardian, executor, trustee or power of attorney. A trusted contact person must be age 18 or older.

Is a TCP required?
Securities Industry Regulations require that we ask for this information. Providing us with a Trusted Contact Person is voluntary, however we encourage your clients to provide it.

Who can be a Trusted Contact?
Anyone other than the account owner, who is at least 18 years of age. ***Investment Advisors cannot be a Trusted Contact Person for a client's account.***

What can a Trusted Contact Person do?
A Trusted Contact Person will not be able to view account information, execute transactions in account(s), or inquire about account activity. A trusted contact person could possibly be asked to confirm the client's current contact information if your firm cannot reach them, confirm the account owner's current health status, confirm the identity of any legal guardian, executor, trustee, or holder of a power of attorney on the account owner's account, or be notified of any possible financial exploitation or fraud in a client's account.

If necessary, would E*TRADE Advisor Services be contacting the Trusted Contact Person directly?
Generally, the account owner's Investment Advisor will continue to be our first point of contact. Of course, if circumstances require us to contact the account owner's Trusted Contact Person first, we will do that.

Please contact your Client Service Advocate if you have questions regarding the Trusted Contact Person form.