Updated Certification of Trusts Form and Transfer Form

Updated Certification of Trusts Form and Transfer Form

The updated Certification of Trusts form is now on Liberty and DocuSign, making it available for eSignature. In addition, this form will pre-populate with client data when sent through Liberty. This form is required for all Trust accounts, inherited IRAs where a trust is the beneficiary and when an officer or a trustee on an account is a trust.

The Transfer form has also been updated to include a signature line for a Plan Administrator. In addition, we updated the Required Minimum Distribution Notice to reflect the age of 73.

Section 4: Signature(s) – Clients and Authorized Parties are Required to Sign

By Signing below I hereby certify that I have read and taken any required actions as provided in Section 6, for my IRA, if applicable.

Print Name for Signature Below	Date:	Print Name for Signature Below	Date:
Client Signature/Authorized Party		Joint Client Signature/Authorized Party	
Medallion Signature Guarantee		Medallion Signature Guarantee	
Plan Administrator Signature *(optional)*:			Date:

Section 5: Successor Custodian Acceptance (for Axos Only)

To the prior Trustee/Custodian: Axos Advisor Services agrees to serve as the successor Custodian for the account of the above-named client, and as Custodian, we agree to accept the transfer of assets to this account. Be advised that the new account name will read: **Axos Clearing LLC FBO client named above. Custodian Tax ID # 77-0616239.**

Axos/Successor Custodian Authorized Signature:	**Date:**

Clearing, custody or other brokerage services provided by Axos Clearing LLC, Member FINRA and SIPC. Axos Clearing LLC is a subsidiary of Axos Financial, Inc. Trademark(s) belong to their respective owners. 06/23

Page 2 of 2

TRANSFER REQUEST
Institutional Advisor Services

ADVISOR SERVICES

Section 6: Required Minimum Distribution Notice

I understand that if this transfer from an Individual Retirement Account is occurring during or after the calendar year during which I attain the age of 73, or if I am a beneficiary who is subject to a required minimum distribution ("RMD"), the required minimum amount determined under this Individual Retirement Account Retirement Account is still required to be distributed. I further understand that the current Trustee/Custodian is not responsible for making this distribution prior to the transfer. I accept full responsibility for satisfying the RMD applicable to this Individual Retirement Account by withdrawing sufficient amounts prior to the deadline for RMDs for the calendar year of the transfer.

If this transfer leaves the transferor account in one year but does not reach my Axos Advisor Services Individual Retirement Account until the following year, I understand that this will be an "outstanding transfer" as of December 31st. Axos Advisor Services, as Custodian, must "deem" that the transfer was received as of the prior December 31st for determining any RMD from the Axos Advisor Services Individual Retirement Account for the year that the transfer was received. I will inform Axos Advisor Services of any such outstanding transfer.