



**To: Mutual Fund Trading Partners**

**From: Whitebox Mutual Funds**

**Important Notice!**

In accordance with the attached supplement, the Whitebox Mutual Funds will be liquidated on **January 19, 2016**. In preparation for the liquidation, the Whitebox Mutual Funds will be closed to all purchases at the close of business on **December 17, 2015**.

Please update your systems and databases accordingly. If you have any questions for the Whitebox Mutual Funds, please contact our Dealer Services Department at (866) 909-8084.

| FUND  | CUSIP     | TICKER |
|---|-----------|--------|
| WHITEBOX TACTICAL OPPORTUNITIES INVESTOR CLASS  | 96467B109 | WBMAX  |
| WHITEBOX TACTICAL OPPORTUNITIES INST CLASS      | 96467B406 | WBMIX  |
| WHITEBOX MARKET NEUTRAL EQUITY INVESTOR CLASS   | 96467B703 | WBLSX  |
| WHITEBOX MARKET NEUTRAL EQUITY INST CLASS       | 96467B885 | WBLFX  |
| WHITEBOX TACTICAL ADVANTAGE FUND INVESTOR CLASS | 96467B877 | WBIVX  |
| WHITEBOX TACTICAL ADVANTAGE FUND INST CLASS     | 96467B869 | WBINX  |

**For Institutional Use Only**

*The Whitebox Mutual Funds are distributed by ALPS Distributors, Inc.*